

## **At Foothill Securities We Respect Your Privacy.**

This privacy notice is being sent on behalf of Foothill Securities, Inc. and its registered representatives (collectively called Foothill).

We recognize our obligation to keep information about you secure and confidential. It's important for you to know that we do not sell your information to anyone. We restrict access to nonpublic personal information about you to those representatives and employees who need to know that information to provide products or services to you. We also maintain physical, electronic, and procedural safeguards to guard your nonpublic personal information. This notice describes how we handle your financial information we collect in doing our business.

Foothill collects nonpublic personal information about you from applications and other forms which they receive from you and from oral and e-mail communications. Some of Foothill's representatives independently provide insurance sales, tax preparation, accounting, investment advice, and other services to you and receive information in the same way from you.

All of the information that Foothill collects through its representatives who are also employed in other nonaffiliated businesses such as insurance sales or tax preparation will necessarily be disclosed to them in those other capacities. And information they collect from you in those other nonaffiliated capacities will necessarily also be known to them as representatives of Foothill. In both cases, however, they will not disclose the information if you notify us that you do not want the information used in any capacity other than the one in which you have dealt with the representative.

If you wish to opt out of such disclosure, please call (\_\_\_\_) \_\_\_\_-\_\_\_\_. By opting out, it will prevent the disclosure of your information between Foothill and Foothill's representative's additional business pursuits. For example, if a Foothill representative also has a tax preparation or insurance practice, that representative would not be able to discuss tax preparation or insurance business with you without first getting your permission.

At such time as Foothill and the representative terminate their relationship with one another, information that has been disclosed by one to the other may be retained by both of them if they have so agreed as a part of a transfer of such portion of the business as was previously handled by the representative.

We may also provide nonpublic personal information about you to nonaffiliated third parties as permitted by law. An example of this would be the sharing of your information with the National Association of Securities Dealers or the Securities and Exchange Commission who oversee our activities. Another example would be the sharing of your information with a mutual fund or other such company in order to process your business.